

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 00307 0029 PCUS00
INTERNATIONAL APPLICATION NO. PCT/EP2004/007602	INTERNATIONAL FILING DATE 8 JULY 2004	U.S. APPLICATION NO. (known) See 37 CFR 1.51(b)(2)(i) 10/564041
TITLE OF INVENTION AMINO ACID DERIVATIVES AND THEIR USE AS A SAG CONTROL AGENT		PRIORITY DATE CLAIMED 8 JULY 2003
APPLICANT(S) FOR DO/EO/US BRINKHUIS, Richard Hendrikus Gerrit and VENDERBOSCH, Rudolf Anthonius Maria		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
- ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
- ☐ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
- ☒ The US has been elected (Article 31).
- ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - ☒ is attached hereto (required only if not communicated by the International Bureau).
 - ☐ has been communicated by the International Bureau.
 - ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☐ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
 - ☐ is attached hereto.
 - ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
- ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - ☐ are attached hereto (required only if not communicated by the International Bureau).
 - ☐ have been communicated by the International Bureau.
 - ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - ☒ have not been made and will not be made.
- ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 11 to 20 below concern document(s) or information included:

- ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- ☒ A preliminary amendment.
- ☐ An Application Data Sheet under 37 CFR 1.76.
- ☐ A substitute specification.
- ☐ A power of attorney and/or change of address letter.
- ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13^{ter}2 and 37 CFR 1.821- 1.825.
- ☒ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
- ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- ☒ Other items or information:
COPY OF APPLICANT CHANGE, PURSUANT TO RULE 92(BIS).1 FILED SEPTEMBER 7, 2005 WITH THE INTERNATIONAL BUREAU;
COPY OF NOTIFICATION OF TRANSMITTAL OF INTERNATIONAL SEARCH REPORT AND WRITTEN OPINION DATED NOVEMBER 4, 2004;
RETURN POSTCARD TO ACKNOWLEDGE RECEIPT

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

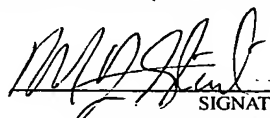
1AP20 Rec'd PCT/PTO 09 JAN 2006

PTO-1390 (Rev. 12-2004)

Approved for use through 3/31/2007.

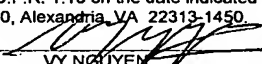
OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. 10/564041 UNKNOWN		INTERNATIONAL APPLICATION NO. PCT/EP2004/007602		ATTORNEY'S DOCKET NUMBER : 00307.0029.PCUS00	
21. The following fees are submitted:					
<input checked="" type="checkbox"/>	a) Basic national fee	\$300.00	Applicant use	\$ 300.00	Office use only
<input checked="" type="checkbox"/>	b) Examination fee	\$200.00		\$ 200.00	
<input checked="" type="checkbox"/>	c) Search fee	\$500.00		\$ 500.00	
TOTAL OF ABOVE CALCULATIONS =		\$1000.00		\$ 1,000.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
29 - 100 =	0 =	1	x \$250.00	\$ 0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	13 - 20 =	0	x \$50.00	\$ 0.00	
Independent claims	1 - 3 =	0	x \$200.00	\$ 0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$ 360.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 360.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
SUBTOTAL =				\$ 1,360.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	\$
TOTAL NATIONAL FEE =				\$ 1,360.00	
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$
TOTAL FEES ENCLOSED =				\$ 1,360.00	
Amount to be refunded:					\$
Amount to be charged:					\$ 1,360.00
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.					
b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>01-2508(00307.0029.PCUS00)</u> in the amount of \$1,360.00 to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>01-2508(00307.0029.PCUS00)</u> . A duplicate copy of this sheet is enclosed.					
d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO:					
PTO CUSTOMER NO. 23369 HOWREY LLP 2941 FAIRVIEW PARK DR., BOX 7 FALLS CHURCH, VA 22042					
				 SIGNATURE MATTHEW F. STEINHEIDER NAME 47.968 REGISTRATION NUMBER	

FORM PTO-1390 (REV. 12-2004)

Page 2 of 2

CERTIFICATE OF EXPRESS MAILING	
NUMBER: E691720902VUS	
DATE OF DEPOSIT: <u>1/9/06</u>	
I hereby certify that this paper or fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
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HOWREY LLP AMSTERDAM

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HOWREY_{LLP}

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**CONFIRMATION
COPY**

International Bureau of WIPO
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Switzerland

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derks@howrey.com

BY FACSIMILE

Amsterdam, September 7, 2005

Dear Madam, Sir,

Change of applicant
Our Ref: 00307.0090.000000

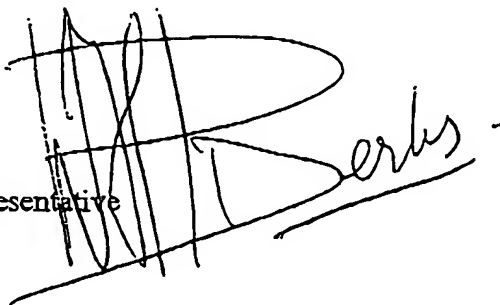
Herewith we submit the following change under Rule 92(bis).1 relating to the applicant of various International Patent Applications as per attached list:

Current Applicant: AKZO NOBEL N.V.
Velperweg 76
6800 SB ARNHEM
The Netherlands

New Applicant: NUPLEX RESINS B.V.
Synthesebaan 1
4612 RB BERGEN OP ZOOM
The Netherlands

Yours sincerely,
Howrey LLP

Wilbert DERKS
Professional Representative



Encl.

10/564041**IAP20 Rec'd PCT/PTO 09 JAN 2006**

File No	Status	Short Title	Application No.	Application Date
00307.0029.00PC00	PUB	Amino acid derivatives and their use as a sag control agent.	PCT/EP2004/007602	08-Jul-04
00307.0037.00PC00	PUB	Emulsion polymerization process, polymer dispersion and film-forming composition.	PCT/EP2004/004800	29-Apr-04
00307.0041.00PC00	PUB	Method to prepare a coating and coating composition.	PCT/EP2004/004384	23-Apr-04
00307.0043.00PC00	PUB	Optically active carbon-substituted methyl amine derivatives and their use as a rheology control agent.	PCT/EP2004/007597	08-Jul-04
00307.0045.00PC00	PUB	STAIN BLOCKING WATER BORNE COATING COMPOSITION	PCT/EP2005/000697	21-Jan-05